

%% & \$FFHSWDEOH 8VH RI ,QIRUPDWLRQ 6

&RQWHQWV

,QWURGXFWLRQ
3XUSRVH
\$XGLHQFH
6FRSH

*HQHUDO 8VH RI %%& ,QIRUPDWLRQ 6\ VWHPV
<RXU %HKDYLRXU
<RXU 5ROH
,QIRUPDWLRQ 6HFXULW\ ,QFLGHQWV
%XVLQHVV 8VH
3HUVRQDO 8VH
,QIRUPDWLRQ 3ULYDF\
\$FFHVVLQJ %%& ,QIRUPDWLRQ 6\ VWHPV
2IIHQVLYH 0DWHULDO
\$FWLRQV 8SRQ 7HUPLQDWLRQ RI &RQWUDFW

3527(&7(' DQG 5(675,&7(' ,QIRUPDWLRQ
:RUNLQJ ZLWK 3527(&7('

6HFXUH 8VH RI WKH ,QWHUQHW
8QDXWKRULVHG 6RIWZDUH
0RELOH \$SSOLFDWLRQV
6FLDO 1HWZRUNLQJ 6LWHV
5HPRWH \$FFHVV

6HFXUH 8VH RI HOHFWURQLF &RPPXQLFDWLRQV

5HPRYDEOH 6WRUDJH 0HGLD
8VLQJ UHPRYDEOH VWRUDJH
5HPRYDEOH 0HGLD IURP 7KLUG 3DUWLHV

6HFXUH &RQILJXUDWLRQV RI %%& ,QIRUPDWLRQ 6\ VWHPV
6HFXULW\ 7RROV RQ %%& ,QIRUPDWLRQ 6\ VWHP
&RQILJXUDWLRQ RI %%& ,QIRUPDWLRQ 6\ VWHPV
\$XWKRULVHG ,QIRUPDWLRQ 6\ VWHPV

&RPPXQLFDWLRQV 6HUYLEFHV
3HUVRQDO 8VH

0RQLWRULQJ RI %%& ,QIRUPDWLRQ 6\ VWHPV
*HQHUDO 0RQLWRULQJ
6SHFLILF 0RQLWRULQJ
0RQLWRULQJ 3HUVRQQHO
\$XWKRULW\ WR 0RQLWRU

,QYHVWLJDWLRQ RI ,QGLYLGXDOV 8VLQJ %%& ,QIRUPDWLRQ
,QYHVWLJDWLRQ <RXU 8VH RI %%& ,QIRUPDWLRQ 6\ VWHPV
,QYHVWLJDWLRQ RI 3DVW &RPPXQLFDWLRQV
1RWLILFDWLRQ RI ,QYHVWLJDWLRQV
3HUVRQDO ,QIRUPDWLRQ 'XULQJ ,QYHVWLJDWLRQV

'HIDPDWLRQ
:KDW LV 'HIDPDWLRQ
'HIDPDWLRQ LV 1RW \$OORZH

+DUDVVPHQW
+DUDVVPHQW LV 3URKLELWHG

&RS\ULJKW
3URWHFWLQJ &RS\ULJKW

([FHSWLRQ 0DQDJHPHQW
([FHSWLRQV 3URFHVV

3ROLF\ 5HYLHZ
\$PHQGPHQWV

'HILQLWLRQV
5HIHUFHV
'RFXPHQW FRQWURO

%% & \$FFHSWDEOH 8VH RI ,QIRUPDWLRQ 6\VWH

,QWURGXFWLRQ

0RQLWRULQJ 3HUFVRQVMR LQIRUPDWLRQ REWDLQHG WKUR
DQG OLPLWHG WR WUDLQHG DQG GHVLJQDWHG VWDII WR
DQG SULYDF\

\$XWKRULW\ WKH % % & W DGRSWV WKH JXLGDQFH RXWOLQH
&RPPLVLRQHUV (PSOR\PHQW 3UDFWLFHV &RGH DQG WKH
3DUW ± 0RQLWRULQJ DW :RUN 7KH ODWWHU GHVFULEHV
DGRSWLRQ RI WKH SULQFLSOHV RI WKH 5HJXODWLRQ RI ,
7HOFRPPXQLFDWLRQV /DZIXO %XVLQHVV 3UDFWLFH ,Q
5HJXODWLRQV

7KH % % & ,QYHVWLJDWLRQ 6HUylFH PDQDJHV DFFHVV
SURFHVV DQG KDV DXWKRULW\ WR SHUPLW RU UHMHF

,Q HYHU\ FDVH WKH UHTXHVWHU ZLOO PDNH D ZULW
PRQLWRULQJ ZLOO VDWLVI\ WKH UHTXLUHPHQWV RI U
QHFHVVDU\ UHDVRQDEOH DQG LV D SRSRUWLRQDWH

7KH % % & ,QYHVWLJDWLRQ 6HUylFH ZLOO FRPSOHWH
UHIXVDO VHWLQJ RXW WKH GDWD UHTXHVWHG DQG
PDGH 7KLV SURFHVV LV VEHMFW RI IRUPDO YDOLGD
E\ WKH +HDG RI WKH % % & ,QYHVWLJDWLRQ 6HUylFH
LQGHSHQGHQW DXGLW

<RX FDQ ILQG RXW PRUH DERXW XVLQJ GDWD DV SDU
[*XLGH WR 'LVFORVXUH RI 3HUVRQDO ,QIRUPDWLRQ](#)

,QYHVWLJDWLRQ RI ,QGLYLGXDOV 8VLQJ % % & ,QI

,QYHVWLJDWLRQ <RXU 8VH RI % % & K, Q IR U B DUHLVSH 6 WWW HRPX
DQG GRHV QRW LQYHVWLJDWH \RXU DFWLYLW\ RQ % % & ,Q
7KH % % & KRZHYHU LV XOWLPDWHO\ UHVSQRVLEOH IRU DO
,QIRUPDWLRQ 6\WHPV ,W LV WKHUH RUH LPSRUWDQW W
LQYHVWLJDWH \RXU % % & FRPPXQLFDWLRQV DQG \RXU XVH
ZKLFK LQFOXGH

x DQ\ VHULRXV LQFLGHQW ZKHUH WKH LQYHVWLJDWLRQ F
SXEOLF LQWHUHVW

x WR FRPSO\ ZLWK OHJDO REOLJDWLRQV DQG WKH SUHYH

x WR HQVXUH WKDW WKH % % & ¶V SROLFLHV DQG SURFHGX

x WR SUHYHQW RU GHWHFW XQDXWKRULVHG XVH RI % % &

x ZKHQ QHFHVVDU\ WR FRQGXFW DXWKRULVHG LQYHVWL

,QYHVWLJDWLRQ RI 3DVW & XIP SXQV FWRPRQ LFDWLRQV PD
DQDO\VHG DV SDUW RI RQ JRLQJ RSHUDWLRQDO QHHGV R

LQIRUPDWLRQ LW REWDLQV YLD WKLW SURFHVV WR LQYHV
DQ\ ODZ DQG WR LQVWLJDWH DSSURSULDWH GLVFLSOLQD

1RWLILFDWLRQ RI ; QMHWLWUHWLWUHQDEOH DQG LI DSSUR
\RX DERXW DQ\ VXVSHFWHG EUHDFK RI WKLW SROLF\ EHIR
+RZHYHU LW PD\ QRW EH SUDFWLFDO WR FRQVXOW ZLWK
JURVV PLVFRQGXFV LV VXVSHFWHG

3HUVRQDO ,QIRUPDWLRQ 'XURQJY,QRXOVIJHDZDQW WKDW
PD\ UHYHDO SHUVRQDO LQIRUPDWLRQ DERXW \RX IRU LQ
LGHQWLW\ RI SHRSOH \RX HPDLO IRU SHUVRQDO UHIVRQV
LW LV QHHGHG WR IRUP SDUW RI DQ DXWKRULVHG LQYHV

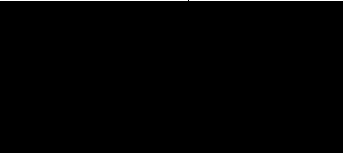


V 'HIDPIDPDLRQ LV WKH SXEOLFDWLRQ RI D VW
DWLRQ RI D SHUVRQ RU DQ RUJDQLVDWLRQ 7KH SX
KHU HOHFWURQLF FRPPXQLFDWLRQ

HIDPIDWLRQ LV 1RFX\$DORZHGRW VHQG RU FLUFXODWH LQ
LQIRUPDWLRQ WKDW LV GHIDPDWU\ 7KLW LQFOXGHV DQV
FRPPHQWV DERXW DQ LQGLYLGXDO RU RUJDQLVDWLRQ ZL
LQIRUPDWLRQ DUH DFFXUDWH \$ SHUVRQ RU RUJDQLVDW
GDPDJHV \$OWKRXXJK WKH ODZ UHFRJQLVHV WKDW LW LV D
RQXV LV RQ \RX RU WKH %%& WR VKRZ WKDW 7KHUH LV D
FRPSOH[DUHD GHDOV ZLWK LQ GHIHQV %i•RZ H€X HI \
FR0@`X H

Zp`0WK 0 R00PÇL" DU HIDPIDP

€pwñW Lp\l@ 0



([FHWLRQ 0DQDJHPHQW

([FHSWLRQV 3R0HMLW LV QRW SRVVLEOH WR DSSO\ RU H
WKHQ D L % & Q V D W L R Q X V T X H V F R P S O H W H G D Q G U R W R U P D W L R Q
6 H F X U L W M D P 7 K H % % & , Q I R U P D W L R Q 6 H F X U L W \ W H D P Z L O O U H
D V V H V V W K H U L V N D Q G D G Y L V H R Q D Q \ D F W L R Q W R E H W D N H
W R W K H , Q I R U P D W L R Q 5 L V N 2 Z Q H U , 5 2

2 Q F H W K H % % & , Q I R U P D W L R Q 6 H F X U L W \ W H D P U H F H L Y H V F R Q
W K H , 5 2 D % % & , Q I R U P D W L R Q 6 H F X U L W \ G L V S H Q V D W L R Q , ' Z L
W R W K H R U L J L Q D O G L V S H Q V D W L R Q U H T X G R U P D W L R Q W H D P W R W
W K H U t t a n b e a s s e s s e d .

: L W K R X W D % % & , Q I R U P D W L R Q 6 H F X U L W \ , ' E H L Q J L V V X H G D
D S S U R Y H G

3 R O L F \ 3 H Y L H

\$ P H Q G P H Q W % % & U H V H U Y H V W K H U L J K W W R U H Y L H Z D Q G
E D V L V 7 K L V L V W K H U H V S R Q V L E L O L W \ R I , Q I R U P D W L R Q 6

5HIHUUHQFHV

\$FFHVVLQJ 2IIF KWWSV LQWUDQHW JDWHZD\ EEF FR XN GI
ODWHULDO IRU PV DVS[
-RXUQD QW WLF
5HVW K 3XUSR

6HFXULW\ DQG KWWSV LQWUDQHW JDWHZDD QEFYFRW NJDR
,QYHVWLJDWLR

%XOO\LQJ DQG KWWSV LQWUDQHW JDWHZD\ EEF FR XN GI
+DUDVVP HQW KDUDVVPHQW S Q D H F \ DVS[
*ULHYDQFH 3RQ

& (QFU\SWLRQ € KWWSV LQWUDQHW JDWHZD\ EEF FR XN GI
FLHV DVS[

%%& ,QIRUPDW KWWSV LQWUDQHW JDWHZD\ EEF FR XN GI
&ODVVLILFDWL FLHV DVS[
+DQGOLQJ 6WD

/D 3URWHFWLRQ KWWSV LQWUDQHW JDWHZD\ EEF FR XN GI
ULJKWV 3D'JHW R W H F D Q R R N DVS[

'LVFLSOLQDU\ KWWSV LQWUDQHW JDWHZD\ EEF FR XN GI
SROLF\ DVS[

(GLWRULDO *XI KWWS ZZZ EEF FR XN JXLGHOLQHV HGLWR

*XLGH WR 'LVF KWWSV LQWUDQHW JDWHZD\ EEF FR XN IR
3HUVRQDO ,QIF LVVXHV 3DJHV GLVFLSOLQDU\ DVS[

,QIRUPDWLRQ (KWWSV LQWUDQHW JDWHZDD QEF FR XN IR
,QFLGHQW 5HS LQYHVWLJDWLRQV HQYLFWWL 3D'JHW R W H F D Q R R N DVS[

ORELOH 'HYLFH KWWSV LQWUDQHW JDWHZD\ EEF FR XN GI
5HPRWH :RUNL UHP R W H F D Q R R N DVS[
3ROLF\

3DVVZRUG 3RO KWWSV LQWUDQHW JDWHZD\ EEF FR XN GI
SROLF\ DVS[

3HUVRQDO ,QIF
6HFXULW\ %UH
5HSRUWLQJ

